

EPTG Action List in 2014

Nr	Activity	Objective	Development of Solution			Current state of work	Comments	Priority
			Lead Sponsor / Co-Sponsor	Actor(s) to agree solution	Target date			
1	Diversity of communication protocols, GB1	Elimination of national differences through EU wide protocol (ISO 15022/20022)	Mathias Papenfuss			Objective will be met for T2S CSDs (not necessarily the T2Smarkets) prior to their migration to T2S; process to be defined for non-T2S markets/CSDs		Medium
2	Intraday settlement, operating hours / deadlines, GB 4, 7	Harmonisation of operating hours / deadlines at all European CSDs to guarantee intraday settlement finality	Mathias Papenfuss			Objective will be met for T2S CSDs (not necessarily the T2Smarkets) prior to their migration to T2S; process to be defined for non-T2S markets/CSDs		Medium
3	Pre-settlement process harmonisation	Harmonisation of pre-settlement processes: affirmation, confirmation, allocation, matching	Dan Watkins			Survey on buy-side readiness was completed on the basis of work with various associations		High
4	Cross border shareholder transparency and registration procedures	Determination of a pan-European model for cross border disclosure of shareholders; registration procedures not to interrupt STP in cross border settlement	Markus Kaum, Alain Pochet			Work of T2S AG Task Force Shareholder Transparency as a starting point. Regarding registration procedures, the T2S AG made some proposals for further action by EPTG.		Medium
5	Exchange Traded Funds (ETFs)	Harmonisation of operational processes for ETFs	Alain Pochet			Exploratory work with key providers		Medium