

Regulatory Technical Standards supplementing Regulation (EU) 575/2013 (CRR) and Directive 2013/36/EU (CRD)

- State of play -

#	Title	Article reference CRR/CRD	Deadline for submission/ tentative date of submission by EBA	Adoption by the European Commission	End of EP/Council consultation period	Official Journal
1.	Specification of the calculation of specific and general credit risk adjustments	CRR Art 110(4)	25/07/2013	20/12/2013 C(2013)9338	20/02/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.057.01.0003.01.ENG
2.	Own Funds (Part 1, 2 and Gain on Sale)	CRR Art 26(4), 27(2), 28(5)(a), 29(6), 32(2), 36(2), 41(2), 52(2), 76(4), 78(5), 79(2), 83(2), 481(6) and 487(3)	25/07/2013	07/01/2014 C(2013)9763	7/03/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.074.01.0008.01.ENG
3.	Financial conglomerates	CRR Art 49(6)	26/07/2013	21/01/2014 C(2014)139	28/03/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?qid=1400073202231&uri=C ELEX:32014R0342
4.	Identified Staff	CRD Art 94(2)	16/12/2013	4/03/2014 C(2014)1332	04/05/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.167.01.0030.01.ENG

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WORK NOT STARTED

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5.	Close correspondence	CRR Art 33(4)	30/09/2013	12/03/2014 C(2014)1553	12/05/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.148.01.0004.01.ENG
6.	Materiality of model changes and extensions (credit and operational risk)	CRR Art 143(5), 312(4)(b)(c)	5/12/2013	12/03/2014 C(2014)1551	12/05/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.148.01.0036.01.ENG
7.	Information exchange	CRD Art 50(6)	16/12/2013	12/03/2014 C(2014)1554	12/05/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.148.01.0006.01.ENG
8.	Risks in activities of options and warrants	CRR Art 329(3), 352(6), 358(4)	17/12/2013	12/03/2014 C(2014)1556 Corrigendum: 04/09/2014 C(2014)6204	12/05/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.148.01.0029.01.ENG Corrigendum: http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:L:2014:265:FULL&from=EN

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9.	Definition of materiality thresholds for specific risk	CRD Art 77(4)	17/12/2013	12/03/2014 C(2014)1561	12/05/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.148.01.0050.01.ENG
10.	Definition of the term market	CRR Art 341(3)	21/12/2013	12/03/2014 C(2014)1552	12/05/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.148.01.0015.01.ENG
11.	Determination of methods for CVA capital charge	CRR Art 383(7)	21/12/2013	12/03/2014 C(2014)1555	12/05/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.148.01.0017.01.ENG
12.	Instruments used for variable remuneration	CRD Art 94(2)	18/02/2014	12/03/2014 C(2014)1550	12/05/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.148.01.0021.01.ENG

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13.	Securitisation retention requirement	CRR Art 410(2)	17/12/2013	13/03/2014 C(2014)1557 Corrigendum 02/07/2015 C(2015)4422	13/05/2014 Corrigendum 02/10/2015	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.174.01.0016.01.ENG Corrigendum http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2015.263.01.0012.01.ENG
14.	Geographical location of a relevant credit exposure	CRD Art 140(7)	20/12/2013	4/06/2014 C(2014)3658	21/10/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.309.01.0005.01.ENG
15.	Passporting notifications	CRD Art 35(5), 36(5), 39(4)	13/12/2013	4/06/2014 C(2014)3656	21/10/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.309.01.0001.01.ENG
16.	Transactions with exposures to underlying assets	CRR Art 390(8)	5/12/2013	2/10/2014 C(2014)6946	2/11/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.324.01.0001.01.ENG

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17.	Identification of G-SIIs	CRD Art 131(18)	5/06/2014	8/10/2014 C(2014) 7117	8/11/2014	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2014.330.01.0027.01.ENG
18.	Margin Periods Of Risk	CRR Art 304(5)	4/07/2014	18/12/2014 C(2014) 9802	18/03/2015	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2015.098.01.0001.01.ENG
19.	Own funds based on fixed overheads	CRR Art 97(4)	30/01/2014	4/09/2014 C(2014)6200	4/03/2015	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=OJ:L:2015:078:TOC
20.	Own Funds Part 4	CRR Art 28(5)	27/03/2014	30/01/2015 C(2015)361	30/04/2015	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2015.135.01.0001.01.ENG
21.	Own Funds Part 3	CRR Art 36(2), 73(7), 84(4)	13/12/2013	11/03/2015 C(2015) 1530	11/06/2015	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=OJ:L:2015:150:TOC
22.	Materiality of model extensions and changes (market risk)	CRR Art 363(4)(a)	4/07/2014	04/03/2015 C(2015)1365	04/06/2015	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=OJ:L:2015:154:TOC

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23.	Countercyclical buffer disclosures	CRR Art 440(2)	22/12/2014	28/05/2015 C(2015) 3468	28/08/2015	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2015.244.01.0001.01.ENG
24.	Grandfathering of SA approach for equity exposures	CRR Art 495(3)	5/08/2014	11/06/2015 C(2015)3797	11/09/2015	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2015.244.01.0009.01.ENG
25.	Functioning of colleges	CRD Art 51(4); 116(4)	31/12/2014	16/10/2015 C(2015) 6999	18/01/2016	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2016.021.01.0002.01.ENG&toc=OJ:L:2016:021:FULL
26.	Prudent valuation adjustments	CRR Art 105(14)	27/03/2014	26/10/2015 C(2015) 7245	26/01/2016	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2016.021.01.0054.01.ENG&toc=OJ:L:2016:021:FULL
27.	The use of derogations for currencies with insufficient liquid assets	CRR Art 419(5)	27/03/2014	26/01/2016 C(2016) 270	25/04/2016	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2016.125.01.0001.01.ENG&toc=OJ:L:2016:125:TOC

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28.	RTS amending the RTS on Risks in activities of options and warrants (non-delta risk) and Identified staff	CRR Art 329(3), 352(6), 358(4) CRD Art 94(2)	04/08/2015	18/02/2016 C(2016) 901	18/05/2016	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2016.144.01.0021.01.ENG&toc=OJ:L:2016:144:TOC
29.	PD estimation (data waiver)	CRR Art 180(3)(a), 181(3)(b), 182(4)(b)	22/12/2014	23/09/2016 C(2016) 5905	23/12/2016	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2017.010.01.0001.01.ENG&toc=OJ:L:2017:010:TOC
30.	Benchmarking Exercise	CRD Art 78(7)	17/02/2015	24/10/2016 C(2016) 6703	24/01/2017	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2017.029.01.0001.01.ENG&toc=OJ:L:2017:029:TOC

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#	Title	Article reference CRR/CRD	Deadline for submission/ tentative date of submission by EBA	Adoption by the European Commission	End of EP/Council consultation period	Official Journal
31.	Additional collateral outflows on derivatives contracts	CRR Art 423(3)	27/03/2014	31/10/2016 C(2016) 6867	31/01/2017	http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2017.033.01.0014.01.ENG&toc=OJ:L:2017:033:TOC
32.	Assessment methodologies for the Advanced Measurement Approaches for operational risk	CRR Art 312(4)(a)	05/06/2015			
33.	Risk weights for specialised lending exposures	CRR Art 153(9)	13/06/2016	14/12/2020 C(2020) 8242	14/03/2021	https://eur-lex.europa.eu/eli/reg_del/2021/598/oj
34.	PD estimation (IRB assessment methodology)	CRR Art 144(2), 173(3), 180(3)(b)	20/07/2016	20/10/2021 C(2021) 7470	20/01/2022	https://eur-lex.europa.eu/eli/reg_del/2022/439/oj
35.	Criteria for intragroup inflows and outflows	CRR Art 422 (9)(10), 425 (5)(6)	26/07/2016	31/05/2017 C(2017)3522	30/06/2017	http://eur-lex.europa.eu/legal-content/EN/TXT/?qid=1499852302332&uri=CELEX:32017R1230

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36.	Definition of default - Thresholds for past due items	CRR Art 178(6)	28/9/2016	19/10/2017	18/01/2018	http://eur-lex.europa.eu/legal-content/EN/TXT/?qid=1520413149014&uri=CELEX:32018R0171
37.	Assessment methodology	CRR Art 363(4)(b)(c)	22/11/2016			
38.	CVA risk Own Funds Requirements	CRR Art 382(5)	08/02/2017	24/01/2018	24/04/2018	http://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32018R0728
39.	Roll out	CRR Art 148	31/12/2014 (on hold)			
40.	Permanent partial use of SA	CRR Art 150(3), 152(5)	31/12/2014 (on hold)			
41.	Own downturn LGD	CRR Art 181(3), 182(4)(a)	05/11/2018	01/03/2021 C(2021) 1250	01/06/2021	https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv%3AOJ.L_2021_204.01.0001.01.ENG&toc=OJ%3A2021%3A204%3ATOC

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42.	Mortgage Lending Value	CRR Art 124(4)	30/06/2015 (on hold)			
43.	Eligible collateral within CRM framework	CRR Art 194(10)	2017 (suspended)			
44.	Conditions for conditional guarantees	CRR Art 183(6)	2017			
45.	Immaterial portfolios	CRR Art 221(9)	31/12/2015			
46.	Risk weights for mortgage lending	CRR Art 164(6), 124(4)(b)	30/06/2016			
47.	Authorisation of credit institutions	CRD Art 8(2)	31/12/2016 14/07/2017	17/06/2022 C(2022)3949	17/09/2022	https://eur-lex.europa.eu/eli/reg_del/2022/2580/oj
48.	Combined use of different approaches	CRR Art 314(5)	31/12/2016			
49.	Disclosures of unencumbered asset	CRR Art 443	24/02/2017	04/09/2017	04/12/2017 C(2017)5959	http://eur-lex.europa.eu/legal-content/EN/TXT/?qid=1515489410893&uri=C ELEX:32017R2295

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50.	Relevant indicator under accounting standards	CRR Art 316(3)	31/12/2017			
51.	RTS amending DR re determining proxy spread and limited smaller portfolios for CVA risk	CRR Art 383(7)	21/06/2017			
52.	RTS on the calculation of KIRB in accordance with the top-down approach and the use of proxy data	CRR Art 255(9)	09/04/2019			
53.	RTS on mapping of derivative transactions to risk (SA CCR)	CRR Art 277(5) 279a(3)(a) and (b)	18/12/2019	01/03/2021 C(2021) 1225	01/06/2021	https://eur-lex.europa.eu/legal-content/en/ALL/?uri=OJ%3AL%3A2021%3A204%3ATOC
54.	RTS on the prudential treatment of software assets	CRR Art 36(4)	12/10/2020	12-11-2020 C(2020) 7715/2	12/02/2022	https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX%3A32020R2176

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55.	RTS on liquidity horizons for the Internal Model Approach (IMA) under	CRR points (a) to (d) of Art 325bd(7)	27/03/2020	28/02/2022 C(2022) 1023	28/05/2022	https://eur-lex.europa.eu/eli/reg_del/2022/2058/oj
56.	RTS on modelability of risk factors under the internal model approach (IMA) under Article 325be(3)	CRR Art 325be(3)	27/03/2020	14/06/2022 C(2022) 3801	14/09/2022	http://data.europa.eu/eli/reg_del/2022/2060/oj
57.	RTS on back testing and profit and loss attribution requirements	CRR Art 325bf and 325bg	27/03/2020	14/06/2022 C(2022) 3800	14/09/2022	http://data.europa.eu/eli/reg_del/2022/2059/oj
58.	RTS on Prudent Valuation	CRR Art 105(14)	22/04/2020	28/05/2020 C(2020) 3428	28/08/2020	https://eur-lex.europa.eu/eli/reg_del/2020/866/oj
59.	RTS passport notification	CRD Art. 35(5), Art. 36(5) and Art. 39(4)	18/06/2020	20/10/2021 C(2021)7430	20/01/2022	http://data.europa.eu/eli/reg_del/2022/192/oj

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60.	RTS on FX and Commodity risk in the non-trading book	CRR Art. 325(3)(a) and (b), 325(9), 325bf(9) and 325bg(4)	03/12/2020	20/04/2023 C(2023) 2585	20/04/2023	
61.	RTS on the methods of prudential consolidation	CRR Art 18(9)	15/04/2021	03/12/2021 C(2021) 8597	15/03/2022	http://data.europa.eu/eli/reg_del/2022/676/oj
62.	RTS on Own Funds and Eligible Liabilities	CRR Art. 28(5), 29(6), 52(2), 72b(7), 76(4), 78(5), 78a(3), and 79(2)	26/05/2021	11/10/2022 C(2022) 7138	11/01/2023	http://data.europa.eu/eli/reg_del/2022/676/oj
63.	RTS Indirect Derivative Exposures LE framework	Art 390(9), subparagraph 3, of CRR	22/02/2021	10/03/2022 C(2022) 1377	10/06/2022	https://eur-lex.europa.eu/eli/reg_del/2022/1011/oj
64.	RTS exotic underlyings and on instruments bearing other residual risks	Article 325u(5), fourth subparagraph, of CRR	22/10/2021	16/08/2022 C(2022) 5647	16/11/2022	http://data.europa.eu/eli/reg_del/2022/2328/oj

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65.	RTS on the calculation of risk-weighted exposure amounts of CIUs under Article 132a(4) of the CRR	Article 132a(4) of CRR	25/11/2021	24/11/2022 C(2022) 8377	25/02/2023	https://eur-lex.europa.eu/eli/reg_del/2023/511/oj
66.	RTS on Credit Risk Adjustments	Article 110(4), letter (e) of CRR	13/12/2021	12/05/2022 C(2022) 3008	12/06/2022	https://eur-lex.europa.eu/eli/reg_del/2022/954/oj
67.	RTS on PDs and LGDs for default risk model for the purposes of Article 325bp(12) of the CRR under the alternative internal model approach for market risk	Article 325bp(12) of CRR	21/03/2022	20/04/2023 C(2023) 2571	20/04/2023	
68.	RTS on criteria for the identification of shadow banking entities	Article 394(2) of CRR	30/05/2022			

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69.	RTS on interest rate risk in the banking book (IRRBB) SA	Art. 84(5) of CRD	20/10/2022			
70.	RTS supervisory shock scenarios (SOTs)	Art. 98(5a) of CRD	20/10/2022			
71.	RTS identification of a group of connected clients	Art 4(4) of CRR	20/12/2022			

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