

Conference on

Preparing Supervisory Reporting for the Digital Age

4 June 2018

Speakers' biographies

Index of names

B

de Basaldúa, Nathalie 3 Berrigan, John 4 Bier, Werner 5

C

Campolargo, Mario 6

D

Dombrovskis, Valdis 7 Douglas, Andrew 8 Dufresne, Luc 10

F

Farkas, Adam 12

G

Gardiner, Richard 13 Gasós, Gonzalo 14 Guersent, Olivier 15

M

Maijoor, Steven 16

N

Noonan, Laura 17

0

Oppermann, Roland 18

P

Parente, Fausto 19

S

Sopek, Mirek 21

W

von Weizsäcker, Jakob 23 Wojtkiewicz, Katarzyna 25 Wolf, Stephan 27



Nathalie de Basaldúa

Director, DG FISMA, European Commission

Nathalie de Basaldúa is Director for Investment and Company Reporting at the European Commission.

Previous assignments include deputy head of Commissioner Hill's private office as well as head of the bank resolution, audit and securities markets units.

As a long-time European Commission official she has been involved in different capacities in the European response to the financial crisis representing the Commission in negotiations on EU securities and banking laws, including the European banking union.

Nathalie is a lawyer by training and has a master's degree in public administration



John Berrigan

Deputy Director-General, DG FISMA, European Commission

John Berrigan is currently the Deputy Director General in DG FISMA (Directorate General Financial Stability, Financial Services and Capital Markets Union) of the European Commission.

John has been a Commission official since the mid-1980s and has spent much of that time working on financial-sector issues first in DG ECFIN and now in DG FISMA.

He has also worked on monetary policy and exchange-rate analysis in the context of preparations for the introduction of the euro in 1999. In the mid 1990s, he worked for several years with the International Monetary Fund.

He was Commission Mission Chief for Portugal from July 2013 to November 2014 in the context of the recent economic assistance programme and has contributed to financial-sector aspects of the assistance programmes for other Member States

John has a master degree in economics from University College Dublin.

He is married with two children.



Werner Bier

Deputy Director-General DG Statistics, European Central Bank

Werner Bier is Deputy Director General Statistics at the European Central Bank (ECB) with a focus on data on credit, securities, money market, interest rate benchmarks, OTC derivatives and banking supervision.

His previous assignments at the ECB referred to macroeconomic statistics. Werner Bier has chaired a number of international groups in the field of statistics including meetings on the level of the G20 and global conferences

Before joining the European Monetary Institute (EMI), the predecessor of the ECB, he worked in the European Commission and the Deutsche Bundesbank



Mario Campolargo

Deputy Director-General of the DG for Informatics, European Commission

A Portuguese national, he joined the European Commission in 1990 after 12 years in telecommunications research.

He has spent his entire career at the Commission in the area of information and communication technologies' research and policies.

Until 2016 he was Director for "Future Networks and Net Futures" at the Commission's department for information and communications technologies (DG CONNECT), managing a staff of 110 people and a portfolio of 250 active projects.

Previously he worked at DG INFSO (now DG CONNECT) where he was appointed to several managing roles until becoming Director for "Emerging Technologies and Infrastructures" in in charge of Future and Emerging Technologies, ICT based infrastructures for science and

ICT trust and security, experimental facilities and experimentally driven research for Future Internet.

Mr. Campolargo owns a Degree in Electrical Engineering (University of Coimbra), a Master of Science in Computing Science (Imperial College London), a Post graduate in Management (Solvay Business School Brussels) and a European Studies Diploma (Université Catholique de Louvain-la-Neuve).'



Valdis Dombrovskis

Vice-President of the European Commission

Valdis Dombrovskis is the Vice-President of the European Commission responsible for the Euro and Social Dialogue, also in charge of Financial Stability, Financial Services and the Capital Markets Union.

Prior to that, he served three consecutive terms as Prime Minister of Latvia, becoming the longest serving elected head of government in Latvia's history.

Before becoming European Commission Vice-President, Valdis Dombrovskis was elected for the second time as a Member of the European Parliament (first, 2004-2009) and Head of the Latvian Delegation in the EPP Group.

He served as a Member of the Saeima (Parliament) of Latvia (March 2004 - June 2004; January 2014 - June 2014) and was Latvia's Minister of Finance from 2002 to 2004.

Valdis Dombrovskis was born on

5 August 1971 in Riga, Latvia. He graduated with a degree in physics from the University of Latvia (1993) and economics from Riga University of Technology (1995).

He received his master's degree in physics in 1995 from the University of Latvia. In 1995 -1998, he worked as a research assistant at Mainz University, Germany, at the Institute of Solid-State Physics in Latvia and at the University of Maryland (USA).

Prior to joining politics, he worked as a senior economist and Chief Economist at the Bank of Latvia (1998-2002). Together with Anders Aslund, he coauthored the book «How Latvia came through the financial crisis", which was published in 2011.

In November 2014, Valdis Dombrovskis was awarded the Order of the Three Stars (Triju Zvaigžņu ordenis), the highest State Decoration of the Republic of Latvia.



Andrew Douglas

Managing Director, Head of Government Relations Europe and Asia, DTCC

Andrew W. Douglas is the Managing Director of Government Relations for Europe & Asia at The Depository Trust & Clearing Corporation (DTCC) and Chief Executive Officer of DTCC's European Trade Repository (DDRL Europe). Andrew is also board member for the DTCC/Euroclear collateral management joint venture.

As CEO of DDRL Europe, Andrew leads day-to-day operations with responsibility for all aspects of the European business, including new product development, regulatory outreach and strategy.

As Managing Director of Government Relations, Andrew plays a key role in representing DTCC's public policy positions to officials of the European Union and its Member States as well as multiple jurisdictions in Asia. He represents DTCC in dealings with other European organizations, such as regulators, central banks and industry

associations. He is also responsible for communicating DTCC's European policy agenda and for building upon existing relationships with key individuals and groups in the public policy-making process. In addition, he represents DTCC at relevant forums, advises senior management on pending legislation and policy issues and provides input to DTCC line business managers about European issues relevant to their products.

Andrew joined DTCC from the Society for Worldwide Interbank Financial Telecommunication (SWIFT), where he most recently served as head of that Belgium-based cooperative's Securities Research and Development Team. During his tenure at SWIFT, he was instrumental in developing the "Giovannini protocol," which harmonized communication standards among equity post-trade infrastructures in Europe.

Prior to joining SWIFT in 1999, Andrew spent two years as head of the middle office and client service teams for fixed income and money market products at Deutsche Bank's investment bank. He began his financial services career in 1988 at Citibank, where he worked in the clearing and custody business.

Andrew has an MBA from Manchester Business School and a Bachelor of Science degree in Materials Science and Engineering from the University of Surrey.



Luc Dufresne

President of the Board, Eurofiling Foundation

- Luc Dufresne (° Bruges 1952) is a civil engineer in electrical & mechanical engineering (Ghent 1974) and has a postgraduate in business administration (Leuven 1978).
- Joined in 1975 the National Bank of Belgium (NBB) in the Printing Works department. Became Head of the Technical Services in 1990. Joined the IT department in 1994, first as Change Manger and EDP manager between 1996 and 2003.
- Became Head of the Microeconomic Information department in 2003. In 2004 he founded on behalf of the NBB, XBRL- Belgium and was also one of the founders of XBRL-Europe in 2008. By consequence the NBB became an early adopter for XBRL filing, first for the filing of annual accounts (2007) and also for prudential reporting (FINREP and COREP)

- Got in charge of the operational integration of prudential supervision on financial institutions and insurance companies in the NBB in 2009 as Advisor to the Board of Directors.
- Became Secretary-general of the Bank and Secretary of the Council of Regents of the Bank in 2011.
- Assured in this function the operational and administrative coordination of the prudential services, integrating also the EBA and EIOPA reporting requirements in the renewed IT-toolset for prudential supervision. Started also up also the function of operational risk manager of the Bank
- Retired from the Bank in 2017 as Honorary Secretary general and is still acting as:
 - > President of the Board of

- Eurofiling Foundation p.f.
- Chair of the Commission on Nuclear Provisions
- Vice-chair Belgian national committee of World Energy Council
- Member of the Reflection Group on Energy of the Royal Flemish Academy of Belgium for Science and the Arts (KVAB)

- Administrator at ICEDD (Institut de Conseil et d'Etudes en Développement Durable).
- Associated member of the General Assembly at Kazerne Dossin



Adam Farkas

Executive Director, European Banking Authority

Adam Farkas (1968) took up his role as the first Executive Director of the European Banking Authority (EBA) on 18th April 2011.

Prior to this, he was the Chairman of the Hungarian Financial Supervisory Authority in 2009-2010.

His other previous positions include Managing Director and Member of the Board at the National Bank of Hungary (1997-2001), the co-CEO of CIB Bank Ltd., a subsidiary of Gruppo Intesa (2002-2005), and CEO of Allianz Bank Ltd, where he was responsible for the establishment of a new commercial bank with a universal banking licence for the market leading insurance company in Hungary.

Farkas holds a doctorate in Finance from the Corvinus University, Budapest and an M.Sc. from Sunderland University (UK).



Richard Gardiner

Head of Public and Regulatory Affairs, Federation of European Securities Exchanges

Richard Gardiner was appointed Head of Public and Regulatory Affairs at the Federation of European Securities Exchanges (FESE) in March 2015.

Richard is currently responsible for coordinating and strengthening political and public support for FESE's work on the key issues and priorities.

As the the European lobbying organisation of exchanges, FESE ensures that the exchange industry retains a strong voice and positive representation.

Previous to this role, he was the Head Equities & Derivatives at the Federation of European Securities Exchanges (FESE) since January 2014, where he was responsible for coordinating the work of the FESE Equities & Derivatives Committees which focus on the technical work with regard to all legislative proposals covering these asset classes (e.g.

MiFID/R, Market Abuse Regulation, Benchmarks, among others).

Richard joined FESE in 2010 as Junior Policy Officer from which he was promoted to Policy Adviser in January 2011.

An Irish national, Richard holds a degree in International Arts from University of Dublin (UCD) and a master degree in Political Communication from Dublin City University (DCU).

He speaks English and French.



Gonzalo Gasós

Head of Banking Supervision, European Banking Federation

Gonzalo Gasós is Head of Banking Supervision at the European Banking Federation (EBF).

His role is to facilitate well-informed dialogues between the EU banking system, the international policy makers, the EU legislators and authorities as well as the ECB Single Supervisory Mechanism (SSM), in the area of financial stability and banking supervision.

He has been working at the EBF, since 2009, where he has been managing the Banking Supervision Committee since 2012 and the SSM Strategy Group since its creation in 2014.

Before joining the EBF, Mr Gasós held various positions in risk management and compliance within the Santander Group including the responsibility as Project Officer of Basel II in European subsidiaries from 2004 to 2009

Previous to that position, he served in the same banking group as Controller of international risks and nonperforming loans.

Mr Gasós began his professional career in Accenture as a financial services consultant where he worked for 5 years.

Mr Gasós holds a Master degree in Economic Science and Business Administration from Universidad Autónoma in Madrid and a Postgraduate in Banking and Finance at the Instituto de Empresa (IE).



Olivier Guersent

Director-General, DG FISMA, European Commission

Olivier Guersent graduated with distinction from the "Institut d'Etudes Politiques de Bordeaux" in 1983. He joined the French Ministry of Economy and Finance in 1984, where he carried out many investigations for the French Competition Authority.

He joined the European Commission in 1992, initially with the "Merger Task Force" in the Directorate-General for Competition. Since then, he has alternated between the private offices of a number of European Commissioners (Karel Van Miert. Michel Barnier and Neelie Kroes) and DG Competition (successively Deputy Head of Unit in charge of cartels, Head of Unit in charge of policy and coordination of cases, Head of Unit in charge of merger control, Acting Director "Transport, postal and other services" and, from 2009. Director responsible for the fight against cartels. From 2010 to 2014 he was the head of the private office of

Michel Barnier, Commissioner for Internal Market and Services. Having held the position of Deputy Director-General since July 2014, Olivier Guersent has been Director-General of the Directorate-General for Financial Stability, Financial Services and Capital Markets Union since 1 September 2015.

Married and a father of three children, Olivier Guersent is a member of the board of of the non-profit organisation Aremis that provides medical care in the home, primarily to cancer patients in the Brussels area. He is a regular lecturer to postgraduate university students.



Steven Maijoor

Chairman, European Securities and Markets Authority

Steven Maijoor has been the Chair of the European Securities and Markets Authority (ESMA) since taking up office 1 April 2011. He is the first chair of the authority and is currently serving his second five-year term. He is responsible for representing the Authority as well as chairing ESMA's Board of Supervisors and the Management Board. The role of the Board of Supervisors is to give strategic guidance to ESMA and make all main regulatory and supervisory decisions. The Management Board's purpose is to ensure that the Authority carries out its mission and performs its tasks.

Prior to taking up this role, Steven was Managing Director at the AFM, the Dutch financial markets regulator, where he was responsible for capital market supervision, including financial reporting and auditing, prospectuses, public offerings, and the supervision of the integrity of financial markets.

During his term, the scope of activities of the AFM vastly expanded and he was responsible for building and implementing supervision in the capital market area. In his regulatory role at the AFM, Steven has held a number of international positions, including the Chairmanship of IFIAR (International Forum of Independent Audit Regulators).

Before joining the regulatory world, Steven was the Dean of the School of Business and Economics at Maastricht University, and had pursued a long career in academia which included a variety of positions at Maastricht University and the University of Southern California.

He holds a PhD in Business Economics from Maastricht University, was a research student at the London School of Economics, and has a master in Business Economics from the University of Groningen.



Laura Noonan

Investment Banking Correspondent, Financial Times

Laura Noonan is the Financial Times' investment banking correspondent, covering global trends in capital markets, sales and trading, regulation and fintech, as well as some of the world's biggest banking institutions.

She also writes on private banking, and fintech more generally and recently added the fortnightly FitHacks column to her brief.

Before joining the FT in 2012, Laura was Reuters' European banking

correspondent, covering the eurozone financial crisis and leading coverage of the inaugural ECB stress tests and the transition to the SSM.

She previously worked for the Irish Independent and Sunday Business Post in Dublin. Laura holds a BA in Journalism from Dublin City University, and is a CFA charterholder.



Roland Oppermann

Member of the Executive Committee of the German Insurance Association (GDV)

Roland Oppermann has been Member of the Management Board and Chief Financial Officer of SV SparkassenVersicherung Holding AG since January 2015.

He is also a Member of GDV's Executive Committee Government and Regulation, of GDV's Investment Committee and Head of the Investment Committee of the Association of Public Insurers.

Following a commercial apprenticeship and business studies in Tübingen and Osnabrück, he also qualified as Chartered Accountant and Tax Advisor

Mr Oppermann started his professional career in 1997 at auditing and consulting company KPMG.

In his last position there he served as Head of Audit Insurance Germany, holding responsibility for the audit of German insurers.



Fausto Parente

Executive Director,
European Insurance and Occupational Pensions Authority

Fausto Parente is the Executive Director of the European Insurance and Occupational Pensions Authority (EIOPA).

In his role, he presides over the dayto-day management of EIOPA. Mr. Parente was elected by the Board of Supervisors of EIOPA on 28 January 2016.

His nomination followed an open selection procedure and a public hearing at the European Parliament's Committee on Economic and Monetary Affairs.

On 9 March 2016 the European Parliament confirmed his appointment. Mr Parente has a distinguished career in supervisory regulations and policies at national and international level.

Prior to his current role he was Head of the Supervisory Regulation and Policy Directorate at the Italian Insurance Supervisor, IVASS – Istituto di Vigilanza sulle Assicurazioni.

He was the Alternate Member in EIOPA Board of Supervisors and member of the Advisory Technical Committee of the European Systemic Risk Board (ESRB).

In the context of the Italian Chairmanship in the EU Council (second half of 2014), Mr Parente chaired the Council's working group on Insurance Mediation Directive negotiations.

In 2011-2016 he chaired the Insurance Group Supervision Committee of EIOPA and in 2015-2016 - the Joint ESAs Committee on Financial Conglomerates.

For several years he was an alternate member in the Technical and Financial Stability Committees

CONTINUE READING →

of the International Association of Insurance Supervisors (IAIS).

Mr Parente has a degree in Economics from the University Federico II, Naples, and he is graduated to practice as a certified accountant and financial analyst.

He has attended many post-graduate

courses in the fields of management, European Community law, economy and finance of insurance undertakings.

He is author of a series of publications on insurance supervision.



Mirek Sopek

CTO & Vice President of the Board, Makolab

Mirek Sopek, PhD graduated from the Faculty of Technical Physics, Information Technology and Applied Mathematics of Lodz University of Technology.

He obtained his doctoral degree at the Faculty of Chemistry in the field of Theoretical Chemistry. He worked as assistant professor and lecturer at Lodz University of Technology (molecular modelling), the University of Humanities and Economics (e-commerce/cryptography) and the National Film School in Lodz (computer graphics).

In 1989, he founded Mako Typesetting, which he transformed into MakoLab in 1993.

Between 1996 and 2000 he worked abroad: first as a scientist and programmer at HyperCube, Inc. in Waterloo, Canada, then as manager of IT projects and systems designer

at HyperCube USA, Inc. in Gainseville, Florida, USA.

In 2007, he transformed MakoLab SA into a joint stock company, which debuted on the Warsaw Stock Exchange in December of that year. In March 2008 he assumed the position of Vice-President of the Board of MakoLab SA.

Under his guidance, MakoLab was transformed into a Digital Solutions Agency. The company currently specializes in digital services for the automotive and financial industries. MakoLab has departments around the world: in Warsaw, Paris, London and in Gainseville, USA.

Furthermore, Mirek Sopek supervised the creation of the Research and Development team at MakoLab SA that deals with semantic technologies, among other things.

CONTINUE READING →

As part of these activities, he organized 3 international conferences (MakoLab Semantic Day in Paris and Warsaw) and initiated MakoLab's cooperation with the schema.org team (a consortium of Google, Bing & Yahoo) and the EDMC consortium (which involves companies from the financial sector).

As a result of this cooperation, extensions of schema.org were created for the automotive industry and the banking sector. Mirek Sopek is the founder and co-administrator of two communities within the W3C – GAO (automotive ontologies) and FIBO (a financial ontology).

He is married with two children.

He also participates in activities outside of his professional life: he is a member of the Board of the Monumentum Iudaicum Lodzense foundation in Łódź and founder of the World Without End Publishing House, which popularizes unusual translations of the Bible to the Polish language.



Jakob von Weizsäcker

Member of the European Parliament

Formation (titres et diplômes)

- 1994-1994: University, Science: Master's degree in physics, Ecole Normale Supérieure (ENS) / Université Claude Bernard, Lyon
- 1996-1996: University, Economics: Diploma of Advance Studies (DEA), Economic analysis and policy, ENS Paris, School for Advanced Studies in the Social Sciences (EHESS)

Carrière professionnelle

- 1991-1992: Alternative service abroad (replacing military service) with Action Reconciliation Services for Peace in Poland (Non-governmental organisation)
- 1996-1996: Scientific assistant to Prof Jean-Charles Hourcade, International Research Centre for the Environment and Development (CIRED), Paris (Public service, government)
- **1997-2000**: Scientific assistant to Prof Hans-Werner Sinn at the Center for Economic Studies, University of Munich (Public service, government)
- 2000-2001: Country manager (Germany) for a London venture capital investor (Private sector)
- **2001-2001**: Visiting Scholar at MIT Department of Economics in Cambridge (Massachusetts) (Private sector)
- 2001-2002: Personal assistant to Siegmar Mosdorf, Parliamentary State

- Secretary at the Federal Ministry of Economic Affairs, Berlin (Public service, government)
- 2002-2005: Economist at the World Bank in Washington, DC, and Dushanbe (International organisation)
- **2005-2010:** Fellow of the economic policy think tank 'Bruegel', Brussels. (International organisation)
- 2010-2014: Head of economic policy and tourism department at the Thuringian Ministry of Economic Affairs, Erfurt (Public service, government).



Katarzyna Wojtkiewicz

Assistant Professor at Chair of MIS, University of Warsaw

Dr Katarzyna B. Wojtkiewicz is a lawyer (LL.M) with a doctor's degree in economics obtained in information management.

Following this unique merge between legal and technical matters, she dedicates her professional career to design and implement efficient data governance frameworks in private and public institutions.

She shares her experience in form of various publications and supports knowledge exchange between academia and industry.

Presently, in a post of the Associate Professor at Chair of MIS, she engages in the interdisciplinary researches of legal, management, and economic sciences.

Her ambition is to explore interconnections between regulatory definitions of legal entities in various

jurisdictions, and effective data governance systems supported by modern technologies.

She is a member of the EURHISFIRM's Advisory Board - the Project established to design a world-class research infrastructure to connect, collect, collate, align, and share detailed, reliable, and standardized long-term financial, governance, and geographical data on European companies.

Prior to her current role, dr Wojtkiewicz served the European Insurance and Occupational Pensions Authority, focusing on the business processes and data designs. From the very beginning, she is actively involved in implementations of the Global Legal Entity Identifier System, and other initiatives improving data exchange standards and related regulatory framework.

Before joining EIOPA in 2011, for more than 16 years, dr Wojtkiewicz had been employed in several managerial positions in international financial groups, including roles of the Custody Department Director and CEO of the Transfer Agent Company for the investment and pension funds.

She also provided an advice to the Chair of the Polish SEC in transposing the European directives into the national legal system, and introducing them to market infrastructures and day to day supervision.



Stephan Wolf

CEO, Global Legal Entity Identifier Foundation

Stephan Wolf was appointed by the Global Legal Entity Identifier Foundation (GLEIF)Board as the CEO of GLEIF.

Since January 2017, Mr Wolf is Co-convener of the International Organization for Standardization Technical Committee 68 FinTech Technical Advisory Group (ISO TC 68 FinTech TAG).

The group acts as an advisory sounding board to support and engage with the Fintech community on standards requirements, for the effective and efficient use and development of standards to support FinTechs and the broader financial services industry, delivered using a cooperative relationship approach.

The focus of the FinTech TAG will be on the following areas: Financial messaging, semantic technologies, blockchain / distributed ledger technology, mobile / digital wallets, digital currencies as well as security considerations related to these topics and interoperability with existing bank-channels

In January 2017, Mr Wolf was named one of the Top 100 Leaders in Identity by One World Identity.

Mr Wolf has extensive experience in establishing data operations and global implementation strategy.

He has led the advancement of key business and product development strategies throughout his career.

Mr Wolf co-founded IS Innovative Software GmbH in 1989 and served first as its managing director.

He was later named spokesman of the executive board of its successor IS Teledata AG

CONTINUE READING →

This company ultimately became part of Interactive Data Corporation where Mr Wolf held the role of CTO until 2010 with development teams in North America, Europe and Asia-Pacific.

He has a proven ability to build and to manage large organizations and partnerships, both globally as well as regionally with the ability to deliver complex solutions to the financial services industry.

As part of his voluntary activities he was member of the board of the E-Finance Lab, an industry-academic partnership between Frankfurt and Darmstadt Universities and partners Deutsche Bank, Deutsche Börse, DZ Bank Gruppe, Finanz Informatik, IBM, T-Systems, 360 Treasury Systems and Interactive Data Managed Solutions located at J. W. Goethe University, Frankfurt am Main.

As industry representative on the Business Informatics/Information Systems technical committee at ASIIN (German registered accreditation association with the right to award the accreditation seal of the German Accreditation Council) he helped with candidate interviews for bachelor and master degree programs in Applied Computer Science at several German universities.

Mr Wolf was Co-editor of the journals "Wirtschaftsinformatik" and "JavaSPEKTRUM". He was lecturer at the University of St. Gallen, Switzerland as well as director of the working committee for software products, BVB Bundesverband Informations- und Kommunikations-Systeme e.V.

Mr Wolf holds a university degree in business administration from J. W. Goethe University, Frankfurt am Main.

European Commission
DG Financial Stability, Financial Services and Capital Markets Union
SPA2 - Pavillon
Rue de Spa 2 / Spastraat 2
1000 Bruxelles / Brussel
Belgium
http://ec.europa.eu/dgs/finance

© European Union, 2018, cover photo: © Fotolia