

## Implementing and Delegated Acts on Directive 2009/65/EC of the European Parliament and of the Council of 13 July 2009 on the coordination of laws, regulations and administrative provisions relating to undertakings for collective investment in transferable securities (UCITS) (recast) (Text with EEA relevance)

| <u>Legal basis</u>   | <u>Type of act</u> | <u>List of acts</u>   |
|--|--------------------|---|
| Art. 12(3), Art. 14(2), Art. 23(6), Art. 33(6), Art. 51(4) | DAC                | Commission Directive 2010/43/EU of 1 July 2010 implementing Directive 2009/65/EC of the European Parliament and of the Council as regards organisational requirements, conflicts of interest, conduct of business, risk management and content of the agreement between a depositary and a management company (Text with EEA relevance)                   |
| Art. 12(3), Art. 14(2), Art. 51(4)                         | DAC                | Commission Delegated Directive (EU) 2021/1270 of 21 April 2021 amending Directive 2010/43/EU as regards the sustainability risks and sustainability factors to be taken into account for Undertakings for Collective Investment in Transferable Securities (UCITS) (Text with EEA relevance)  |
| Art. 17(10)(2), Art. 18(5)(2), Art. 20(5)(2)               | RTS                | Commission Delegated Regulation supplementing Directive 2009/65/EC of the European Parliament and of the Council with regard to regulatory technical standards specifying the information to be notified in relation to the cross-border activities of management companies and undertakings for collective investment in transferable securities (UCITS) |
| Art. 22(2-5), Art.   | DAC                | Commission Delegated Regulation (EU) 2016/438 of 17   |

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| <p>22a(2), Art.<br/>22a(3), Art. 24,<br/>Art. 24(1), Art.<br/>25(2)</p>                          | <p>December 2015 supplementing Directive 2009/65/EC of the European Parliament and of the Council with regard to obligations of depositaries (Text with EEA relevance)</p>   |
| <p><b>Art. 26b</b>                      DAC</p>  | <p>Commission Delegated Regulation (EU) 2018/1619 of 12 July 2018 amending Delegated Regulation (EU) 2016/438 as regards safe-keeping duties of depositaries (Text with EEA relevance.)</p>  |
| <p><b>Art. 43(5)</b>, Art.<br/>60(6), Art. 61(3),<br/>Art. 62(4), Art.<br/>64(4), Art. 95(1)</p> | <p>Commission Directive 2010/42/EU of 1 July 2010 implementing Directive 2009/65/EC of the European Parliament and of the Council as regards certain provisions concerning fund mergers, master-feeder structures and notification procedure (Text with EEA relevance)</p>   |
| <p><b>Art. 75(4)</b>, Art.<br/>78(7), Art. 81(2)</p>   | <p>Commission Regulation (EU) No 583/2010 of 1 July 2010 implementing Directive 2009/65/EC of the European Parliament and of the Council as regards key investor information and conditions to be met when providing key investor information or the prospectus in a durable medium other than paper or by means of a website (Text with EEA relevance)</p>  |
| <p><b>Art. 95(2)</b>, Art.<br/>101(9), Art. 105</p>  | <p>Commission Regulation (EU) No 584/2010 of 1 July 2010 implementing Directive 2009/65/EC of the European Parliament and of the Council as regards the form and content of the standard notification letter and UCITS attestation, the use of electronic communication between competent authorities for the purpose of notification, and procedures for on-the-spot verifications and investigations and the exchange of information between competent authorities (Text with EEA relevance)</p> |
| <p><b>Art. 99e(3)</b>                      ITS</p>   | <p>Commission Implementing Regulation (EU) 2016/1212 of 25 July 2016 laying down implementing technical standards with regard to standard procedures and</p>   |

forms for submitting information in accordance with  
Directive 2009/65/EC of the European Parliament and of  
the Council (Text with EEA relevance)

**Legend:** DAC = Delegated Act, IAC = Implementing Act, ITS = Implementing Technical  
Standard, RPS = Regulatory Procedure with Scrutiny, RTS = Regulatory Technical Standard