

Implementing and Delegated Acts on Regulation (EU) No 909/2014 of the European Parliament and of the Council of 23 July 2014 on improving securities settlement in the European Union and on central securities depositories and amending Directives 98/26/EC and 2014/65/EU and Regulation (EU) No 236/2012 Text with EEA relevance

<u>Legal basis</u>	<u>Type of act</u>	<u>List of acts</u>
Art. 6(5), Art. 7(15)	RTS	Commission Delegated Regulation (EU) 2020/1212 of 8 May 2020 amending Delegated Regulation (EU) 2018/1229 supplementing Regulation (EU) No 909/2014 of the European Parliament and of the Council with regard to regulatory technical standards on settlement discipline (Text with EEA relevance)
Art. 6(5), Art. 7(15)	RTS	Commission Delegated Regulation (EU) 2021/70 of 23 October 2020 amending Delegated Regulation (EU) 2018/1229 concerning the regulatory technical standards on settlement discipline, as regards its entry into force (Text with EEA relevance)
Art. 7(14), Art. 24(7)	DAC	Commission Delegated Regulation (EU) 2017/389 of 11 November 2016 supplementing Regulation (EU) No 909/2014 of the European Parliament and of the Council as regards the parameters for the calculation of cash penalties for settlement fails and the operations of CSDs in host Member States (Text with EEA relevance.)
Art. 7(15), Art. 6(5)	RTS	Commission Delegated Regulation (EU) 2018/1229 of 25 May 2018 supplementing Regulation (EU) No 909/2014 of the European Parliament and of the

Council with regard to regulatory technical standards on settlement discipline (Text with EEA relevance.)

Art. 7(15)	RTS	Commission Delegated Regulation (EU) 2022/1930 of 6 July 2022 amending the regulatory technical standards laid down in Delegated Regulation (EU) 2018/1229 as regards the date of application of the provisions related to the buy-in regime (Text with EEA relevance)
Art. 7(15)(19)	DAC	Commission Delegated Regulation (EU) 2023/1626 of 19 April 2023 on amending the regulatory technical standards laid down in Delegated Regulation (EU) 2018/1229 as regards the penalty mechanism for settlement fails relating to cleared transactions submitted by CCPs for settlement (Text with EEA relevance)
Art. 9(2)	RTS	Commission Delegated Regulation (EU) 2017/391 of 11 November 2016 supplementing Regulation (EU) No 909/2014 of the European Parliament and of the Council with regard to regulatory technical standards further specifying the content of the reporting on internalised settlements (Text with EEA relevance.)
Art. 9(3)	ITS	Commission Implementing Regulation (EU) 2017/393 of 11 November 2016 laying down implementing technical standards with regard to the templates and procedures for the reporting and transmission of information on internalised settlements in accordance with Regulation (EU) No 909/2014 of the European Parliament and of the Council (Text with EEA relevance.)
Art. 18(4), Art. 12(3), Art. 17(9), Art. 22(10), Art. 25(12), Art. 26(8), Art. 29(3),	RTS	Commission Delegated Regulation (EU) 2017/392 of 11 November 2016 supplementing Regulation (EU) No 909/2014 of the European Parliament and of the Council with regard to regulatory technical standards on authorisation, supervisory and operational requirements

Art. 33(5), Art.
37(4), Art. 45(7),
Art. 46(6), Art.
48(10), Art.
49(5), Art. 52(3),
Art. 53(4), Art.
55(7)

Art. 29(4), Art. ITS
17(10), Art.
22(11), Art.
24(8), Art. 33(6),
Art. 49(6), Art.
52(4), Art. 53(5),
Art. 55(8)

for central securities depositories (Text with EEA
relevance.)

Commission Implementing Regulation (EU) 2017/394 of
11 November 2016 laying down implementing technical
standards with regard to standard forms, templates and
procedures for authorisation, review and evaluation of
central securities depositories, for the cooperation
between authorities of the home Member State and the
host Member State, for the consultation of authorities
involved in the authorisation to provide banking-type
ancillary services, for access involving central securities
depositories, and with regard to the format of the
records to be maintained by central securities
depositories in accordance with Regulation (EU) No
909/2014 of the European Parliament and of the
Council (Text with EEA relevance.)

Art. 47(3), Art. RTS
54(8), Art. 59(5)

Commission Delegated Regulation (EU) 2017/390 of 11
November 2016 supplementing Regulation (EU) No
909/2014 of the European Parliament and of the
Council with regard to regulatory technical standards on
certain prudential requirements for central securities
depositories and designated credit institutions offering
banking-type ancillary services (Text with EEA
relevance.)

Legend: DAC = Delegated Act, IAC = Implementing Act, ITS = Implementing Technical
Standard, RPS = Regulatory Procedure with Scrutiny, RTS = Regulatory Technical Standard